

University and College Union

'Further, higher, better'

Submission to the government's second Comprehensive Spending Review

Endnotes

Endnotes

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Report of the Foster Review, November 2005, p. 10.
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9NATFHE (2001) In From the Cold? Part-time teaching, professional development and the ILT, A Union Learning Fund Project led by NATFHE.

DfES (2006), Raising skills, improving life chances, p. 54.

Source: DfES analysis of 02/3 college accounts, in

http://www.dfes.gov.uk/furthereducation/fereview/downloads/Paul_Mounts_FE_presentation.ppt - Appendix 5 of the Foster report (2005).

Scottish Executive (2004), Building a Better Scotland, table 8.01, at www.scotland.gov.uk/library5/

¹⁶ LSC annual report and accounts for 2004-05, p. 2.

¹⁷ Because of different methodologies used by the Treasury in recording public expenditure since 1997, two tables have been used, the first to 2001 showing Total Managed Expenditure (TME: including recurrent, capital and annually managed expenditure; data presented on a cash basis), and the second for 1999-2005, with slightly lower figures where these overlap, showing Total Expenditure on Services (TES: similar to TME, but excluding central government finance to local authorities and general government capital expenditure; data presented on an accruals basis).

the most recent year for which FE funding was given in the Treasury's Public Expenditure Statistical Analyses 2005

¹⁹ DfES (2006), Raising skills, improving life chances, p. 53.

²⁰ Colin Flint (2005), Staff in FE, think piece for Foster Review (Nov 2005) at

http://www.dfes.gov.uk/furthereducation/fereview/downloads/StaffinFEThinkPiece_ColinFlint.doc

http://www.hm-treasury.gov.uk/budget/budget_05/bud_bud05_speech.cfm

²² P. 84.

http://www.aoc.co.uk/aoc/fe_manifesto/manifesto.doc

8 January 2006 Tony Blair MP, Prime Minister, on 'BBC Sunday AM'.

²⁵ DfES (2006), Raising skills, improving life chances, p. 70.

²⁶ Covering NI's four HE institutions: The Queen's University of Belfast; St Mary's University College; Stranmillis University College; University of Ulster.

Report for UUK/HEFCE from CHERI and London South Bank University, November 2005.

²⁸ In the OECD's Main Science and Technology Indicators, data on the proportion of R&D financed by abroad were not available for the US and the OECD.

http://www.whitehouse.gov/news/releases/2006/01/20060131-10.html
 HEFCE, April 2004.

³¹ HEFCE 2005/41, Review of the teaching funding method

32 http://www.hefce.ac.uk/pubs/hefce/1997/c6_97.htm

http://www.hefce.ac.uk/pubs/hefce/2006/06_08/

34 HESA Finance Plus 1997/98 CD, table 5

35 http://www.hefcw.ac.uk/Research_Docs/W0609HE_circ.pdf

36 http://www.sfc.ac.uk/library/11854fc203db2fbd000000ed6cab5229/0797.html

37 http://www.sfc.ac.uk/library/06854fc203db2fbd0000010a0244a88c/sfc_22_06.html

³⁸ HEFCE 2003/10: para 36

The most recent year for which recurrent research allocations were available at the time of writing.

⁴⁰ Share of recurrent funding for research in Northern Ireland: 1997-8: QUB 67%; Ulster 33%. 2005-6: n/a.

⁴¹ Speech 22 November 2005, UK Research Base Funders' Forum conference.

⁴² Speech 22 November 2005, UK Research Base Funders' Forum conference

43 House of Commons Education and Skills Committee, 16 November 2005

⁴⁴ Grant letter from Charles Clarke, secretary of state for education and skills, to chairman of HEFCE, 22 January 2003 ⁴⁵ HEFCE (2005) Capital funding for learning and teaching, research and infrastructure, Bristol: HEFCE, Circular

⁴⁶ HEFCW (2003), Recurrent grant 2003-4, Circular W03/18HE, 1.4.03
⁴⁷ HEFCW (2003), Indicative Capital Funding for Learning and Teaching and IT Infrastructure 2004-5 and 2005-6, Circular W03/39HE, 10.6.03

48 SHEFC (2005) Main grants in support of teaching and research 2005-06, Edinburgh: SHEFC, Circular HE/08/05,

Since 1998 the Wellcome Trust has invested more than £600m in total in the UK's university research infrastructure, and intends to spend a further £1.5bn on infrastructure, research and training in the five years following the publication of the government's 10-year plan for science in 2004 - see Science and innovation investment framework 2004-14, box 1.2.

50 DfEE grant letter, 8 December 1998, para. 35.

² "BBC Sunday AM" TV interview with Andrew Marr 8 January 2006

³ Speech to TÚC 13 September 2005

Speech, 13 January 2005 www.bbc.co.uk

⁵ DfES, p. 4.

Budget speech, March 2006
 Speech to TUC 13 September 2005

⁸ Toby Helm (2006), University fees likely to rise, says Brown, Daily Telegraph 6 June 2006 http://www.telegraph.co.uk/news/main.jhtml?xml=/news/2006/06/06/nuni06.xml&sSheet=/news/2006/06/06/ixuknews.

DfES (2006), Raising skills, improving life chances, p. 14.

¹² DfES (2006), Raising skills, improving life chances, p. 17.

¹³ University of Strathclyde (2002), The impact of higher education institutions on the UK economy, London:

Universities UK, cited in DfES (2003), The future of higher education, p 10. The data referred to were for 1999-2000.

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<sup>51</sup> DfES grant letter, 29 November 2000, para. 26.
<sup>52</sup> DfES grant letter, 22 January 2003, para. 16.
<sup>53</sup> HM Treasury et al (2004), Science & innovation Investment Framework 2004-14, para. 2.27.
<sup>54</sup> Welsh Office grant letter, 15 December 1998.
55 National Assembly for Wales grant letter, 22 December 2000; letter does not indicate specific amount for science
research infrastructure.
  HEFCW (2005), W05/06HE, para. 11: SRIF3 funding for 2004-5 and 2005-6: £21.5 million in total.
<sup>57</sup> HEFCW (2003), W03/07HE, para. 10: SRIF2 funding for 2004-5 and 2005-6: £21.5 million in total.
58 Scottish Office grant letter, 9 December 1998.
<sup>59</sup> SHEFC (2001), Circular HE/05/2001, para. 2.
<sup>60</sup> SHEFC (2005), Circular HE/02/05, para. 10. SRIF3 funding for 2006-7 and 2007-8: £30 million in total.
61 SHEFC (2003), Circular HE/05/03, para. 9: SRIF2 funding for 2004-5 and 2005-6: £30 million in total. 62 JIF included £300 million from the Wellcome Trust
<sup>63</sup> SRIF1 also included £225 million from the Wellcome Trust, and £100 million retained by the OST for modernising
Research Council institutes.
  DfES (2006), Raising skills, improving life chances, p. 38.
65 HEFCE, Higher education-business interaction survey 2000-01, March 2003/11, p. 10.
66 HEFCE, Higher education-business interaction survey 2000-01, March 2003/11, p. 13.
<sup>67</sup> Budget statement, 16 March 2005
68 http://www.hefce.ac.uk/pubs/hefce/2005/05_46/05_46.doc
69 http://www.hefcw.ac.uk/Business_and_Community/mission_fund.htm
<sup>70</sup> HEFCE circular 2005/07
<sup>71</sup> Para. 8a.
The report said most of the apparent drop is accounted for in English regions, and some HEIs have reported that
previous years' figures may have been double counted.
  Para. 36.
<sup>74</sup> Sachi Hatakenaka (2005), Development of third stream activity: lessons from international experience, Oxford:
HEPI, para 3, 6.
  Sachi Hatakenaka (2005), Development of third stream activity: lessons from international experience, Oxford:
HEPI, para 7.
  DfES (2003), The future of higher education, Cm 5735, para 3.4
77 DfES (2003), The future of higher education, Cm 5735, para 3.7
<sup>78</sup>For a summary of the Missenden Code, see <a href="http://www.missendencentre.co.uk/docs/MissCode.pdf">http://www.missendencentre.co.uk/docs/MissCode.pdf</a>
<sup>79</sup> This section is based on the code for Commercialisation, Research and Academic Freedom, developed by the
Association of University Staff of New Zealand; see Donald C Savage, 'Academic Freedom and Institutional
Autonomy in New Zealand Universities', in Crozier, R. (ed.) Troubled Times - Academic Freedom in New Zealand,
2000, Paterson North, NZ: Dunmore Press, pp. 121-2.
80 CIHE & IBE (2005), Ethics Matters: Managing Ethical Issues in Higher Education, published by the Council for
Industry and Higher Education and Brunel University.
  Gareth Parry (2005), The higher education role of further education colleges, think piece for Foster Review, at
http://www.dfes.gov.uk/furthereducation/fereview/downloads/Higher_EducationinFE_Gareth_Parry.doc
  HESA (2005), Students in Higher Education Institutions 2004/05, Cheltenham: HESA, p. 59.
** HEFCE 2003/16, Supporting higher education in further education colleges, p. 3.
<sup>84</sup> HEFCE 2003/16, Supporting higher education in further education colleges, p. 3.
85 Gareth Parry (2005), The higher education role of further education colleges, think piece for Foster Review, at
http://www.dfes.gov.uk/furthereducation/fereview/downloads/Higher_EducationinFE_Gareth_Parry.doc
 HEFCE 2006/08, Recurrent grants for 2006-7.
87 DfES (2002), Departmental Report 2002, p. 108.
88 DfES (2005), Departmental Report 2005, p. 67.
89 Charles Clarke, DfES annual grant letter to HEFCE, 8 January 2004, para. 10.
90 http://www.hefce.ac.uk/pubs/hefce/2004/04_15/04_15.doc, para. 3.
<sup>91</sup> Gareth Parry (2005), The higher education role of further education colleges, think piece for Foster Review, at
http://www.dfes.gov.uk/furthereducation/fereview/downloads/Higher_EducationinFE_Gareth_Parry.doc
  Gareth Parry (2005), The higher education role of further education colleges, think piece for Foster Review, at
http://www.dfes.gov.uk/furthereducation/fereview/downloads/Higher EducationinFE Gareth Parry.doc
  Scottish Executive (2005), Further education in Scotland 2004, p. 7.
94 Scottish Executive (2001), Further education in Scotland 2000, Appendix 4.
95 Scottish Executive (2005), Further education in Scotland 2004, Appendix 4.
  pre-Budget report statement, 5 December 2005
<sup>97</sup> House of Commons Education and Skills Committee, 2 November 2005
98 http://www.lsc.gov.uk/National/Media/PressReleases/pr334collegesfinancialplans.htm
<sup>99</sup> DfES Grant letter: 2006-7 to chairman, LSC, 30 October 2005
http://readingroom.lsc.gov.uk/lsc/2005/funding/streams/fe-funding-for-2005-06-academic-year.pdf
The Leitch Review of skills in the UK identifies 'generic' skills, such as team-working, communication, literacy and
numeracy, which are relatively transferable, and 'specific' skills, such as machine operation, which are less
transferable - and says most occupations involve a mix of the two. The most common measure of skills is
qualifications, although it is possible to have skills without qualifications, such as through on-the-job training not
linked to a qualification. Levels of literacy and numeracy are also used as measures of skills, usually through surveys.
   Leitch Review, Skills in the UK: The long-term challenge, interim report, HM Treasury, December 2005, p.1.
Leitch Review, Skills in the UK: The long-term challenge, interim report, HM Treasury, December 2005, p.8.

Leitch Review, Skills in the UK: The long-term challenge, interim report, HM Treasury, December 2005, p.10.
Leitch Review, Skills in the UK: The long-term challenge, interim report, HM Treasury, December 2005, p.10.
Leitch Review, Skills in the UK: The long-term challenge, interim report, HM Treasury, December 2005, p.11. Leitch Review, Skills in the UK: The long-term challenge, interim report, HM Treasury, December 2005, p.11.
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<sup>108</sup> DfES (2006), Raising skills, improving life chances, p. 21.
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David Turner, Students expected to end up £15,000 in debt, Financial Times, 28.1.05 p. 2.

- Action on Access, 2005, Higher Education in the USA, Student fees, financial aid and access
- Action on Access, 2003, Figure Education and 127 DfES (2006), Raising skills, improving life chances, p. 73.

¹²⁸ Para 247

- ¹²⁹ LLUK (2005) Further education workforce data for England, p 46.
- 130 LLUK (2005) Further education workforce data for England, p 28.
- DfES data analysis for Foster Review:

http://www.dfes.gov.uk/furthereducation/fereview/downloads/Paul_Mounts_FE_presentation.ppt

Letter to Times Educational Supplement, FE Focus, 10.2.06, p. 4.

The data in this section were from a report in 2005 by the Association of University Teachers, The Diverse Academy, based on HESA data. See: http://www.aut.org.uk/media/pdf/5/r/diverseacademy_oct05.pdf Where ethnicity is known

135 for whom information was provided

Hilary Metcalf et al (2005), Recruitment and Retention of Academic Staff in Higher Education, London: National Institute of Economic and Social Research and Department for Education and Skills, RR658, p. 39.

UCEA (2005). Recruitment and retention of staff in higher education 2005, Summary, p. 9.

http://www.hefce.ac.uk/pubs/hefce/2005/05_06/ para 44

The jump in the SSR between 2002-3 and 2003-4 is likely to reflect the change in the staff data collection methodology by HESA, giving a more accurate picture of the mode of employment of academic staff: in particular, the large increase in 2003-4 in the number of part-time staff, and the reduction in full-time staff, compared with the previous year, contributed to an increase in the SSR.

In FTE calculation, part-time = 50% of full-time, except for 2003-4, when HESA New Individualised Staff Record FTE figure used; academic staff includes teaching-only and teaching-and-research staff, but excludes research-only staff. HESA's New Individualised Staff Record from 2003-4 results in a break in continuity in the data: the NISR in 2003-4 is designed to include academic staff working on less that a 25% FTE contract, and shows a large increase in part-time teaching-only staff, and a slight decrease in teaching-and-research academic staff. The 2003-4 NISR provides a staff FTE figure using proportion of contract data, and is therefore more accurate than the staff FTE for previous years.

142 In FTE calculation, part-time = 50% of full-time, except for 2003-4, when HESA New Individualised Staff Record

FTE figure used; academic staff includes teaching-only and teaching-and-research staff, but excludes research-only staff, HESA's New Individualised Staff Record from 2003-4 results in a break in continuity in the data; the NISR in 2003-4 is designed to include academic staff working on less that a 25% FTE contract, and shows a large increase in part-time teaching-only staff, and a slight decrease in teaching-and-research academic staff. The 2003-4 NISR provides a staff FTE figure using proportion of contract data, and is therefore more accurate than the staff FTE for previous years.

Organisation for Economic Co-operation and Development

The difference between the SSRs for the UK in tables 1 and 2 may be due to the use of different factors in calculating the full-time equivalent ratio; the factor used in table 1 was 0.5; the factor used in the OECD full-time equivalent data was not stated.

See http://www.oecd.org/dataoecd/36/36/35324994.pdf

HEFCE (2005), Report on the higher education workforce 2005, draft, para 33.

¹⁴⁶ P. 2.

¹⁴⁷ P. 15.

¹⁴⁸ Colin Flint (2005), Staff in FE, think piece for Foster Review (Nov 2005) at

http://www.dfes.gov.uk/furthereducation/fereview/downloads/StaffinFEThinkPiece ColinFlint.doc

⁽Skills Dialogue 14: An Assessment of Skills Needs in Post-16 Education and Training)

Julia Braggins, 'Shared Responsibilities', NATFHE-AoC, November 2001.

Social Exclusion Unit (2002), Reducing re-offending by ex-prisoners.

¹¹² DfES (2005), Reducing Re-offending Through Skills and Employment.

^{113 14-19} Education and Skills, February 2005, Cm 6476, p. 5.

¹¹⁴ Gareth Parry (2005), The higher education role of further education colleges, think piece for Foster Review, at http://www.dfes.gov.uk/furthereducation/fereview/downloads/Higher_EducationinFE_Gareth_Parry.doc . Paras 74-75.

¹¹⁶ Wendy Alexander, former Minister for Enterprise and Lifelong Learning, foreword to Scottish Executive (2001), Further education in Scotland 2000.

Foreword to Scottish Executive (2005), Further education in Scotland 2004.

¹¹⁸ DfES (2006), Raising skills, improving life chances, p. 29.

To 2001-2, the data refer to the proportion of students in HE from social classes IIIM, IV and V; from 2002-3, the data refer to the proportion of students in HE from National Statistics Socio-Economic Classification (NS-SEC): classes 4 to 7; The new classification has seven analytic classes and groups 1 to 3 are used as 'high' class and 4 to 7 as 'low'. This change in methodology has increased the overall percentage from lower socio-economic classes by over 2.5%.

¹²⁰ Ruth Kelly, 31 January 2006.

^{121 16} November 2005 speech to AoC conference

House of Commons Education & Skills Committee 2 November 2005

¹²³ CHERI & London South Bank University (2005), Survey of higher education students' attitudes to debt and termtime working and their impact on attainment, p. 7.

¹²⁵ Claire Callender et al (2005), Higher and Further Education Students' Income, Expenditure and Debt in Scotland, http://www.scotland.gov.uk/Publications/2005/11/04111002/10030

The jump in the ratio between 2002-3 and 2003-4 is in part be due to the revised staff data collection methodology of the Higher Education Statistics Agency, with a sharp rise in the number of part-time academics, and reduction in full-time academics.

¹⁴⁹ Colin Flint (2005), Staff in FE, think piece for Foster Review (Nov 2005) at

http://www.dfes.gov.uk/furthereducation/fereview/downloads/StaffinFEThinkPiece_ColinFlint.doc

¹⁵⁰ Colin Flint (2005), Staff in FE, think piece for Foster Review (Nov 2005) at

http://www.dfes.gov.uk/furthereducation/fereview/downloads/StaffinFEThinkPiece_ColinFlint.doc

LLUK (2005) Further education workforce data for England, p 22.

¹⁵² Colin Flint (2005), Staff in FE, think piece for Foster Review (Nov 2005) at

http://www.dfes.gov.uk/furthereducation/fereview/downloads/StaffinFEThinkPiece_ColinFlint.doc

¹⁵³ DfES (2006), Raising skills, improving life chances, p. 50.

¹⁵⁴Independent Review of Higher Education Pay and Conditions (1999), para 320.

¹⁵⁵HEFCE (2002) Interim evaluation of the Rewarding and Developing Staff in Higher Education initiative, para 35 ¹⁵⁶NATFHE (2001) In From the Cold? Part-time teaching, professional development and the ILT, A Union Learning Fund Project led by NATFHE.

¹⁵⁷ Colin Flint (2005), Staff in FE, think piece for Foster Review (Nov 2005) at

http://www.dfes.gov.uk/furthereducation/fereview/downloads/StaffinFEThinkPiece_ColinFlint.doc

¹⁵⁸ Guy Goodwin (2005), Man with a mission, ATL report, December, p. 17.¹⁵⁹ Colin Flint (2005), Staff in FE, think piece for Foster Review (Nov 2005) at

http://www.dfes.gov.uk/furthereducation/fereview/downloads/StaffinFEThinkPiece_ColinFlint.doc

the average referred to is the mean

161 Measured by the all-items Retail Price Index

¹⁶² No data on pay and ethnicity among further education staff were available at the time of writing.

¹⁶³ Given the relatively small numbers of academic staff in Northern Ireland, this may result have been caused by highly-paid BME outliers affecting the average; the result was not replicated in later years.

¹⁶⁴ The data in this paragraph exclude academics for whom the ethnicity was unknown.

¹⁶⁵ Para. 248.

Lifelong Learning UK (2005), Further education workforce data for England 2003-4, p. 46.

Lifelong Learning UK (2005), Further education workforce data for England 2003-4, p. 46.

DfES (2006), Raising skills, improving life chances, p. 54.

National Committee of Enquiry into Higher Education (1997), para. 8.44-45.